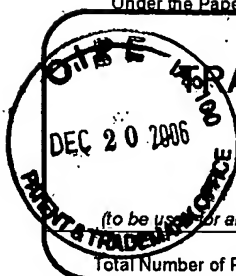


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TRANSMITTAL FORM

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Total Number of Pages in This Submission

4

Application Number

10/501,846

Filing Date

June 25, 2004

First Named Inventor

Betensky

Art Unit

Examiner Name

Attorney Docket Number

LCI-101US

ENCLOSURES

(Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

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Request for Refund

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CD, Number of CD(s) _____

☐

Landscape Table on CD

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After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

Supplemental Modified 1449 Form (1 page)
Cited Reference (1 reference)
Return Receipt Postcard (1 card)

Remarks

Papers transmitted herewith:

1. Transmittal Form (PTO/SB/21; 1 page; this page)
2. Supplemental Information Disclosure Statement (2 pages)
3. Supplemental Modified 1449 Form (1 page)
4. Cited Reference (1 reference)
5. Return Receipt Postcard (1 card)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Maurice M. Klee, Ph.D.

Signature

Maurice Klee

Printed name

Maurice M. Klee, Ph.D.

Date

December 15, 2006

Reg. No.

30,399

CERTIFICATE OF TRANSMISSION/MAILING

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Signature

Maurice Klee

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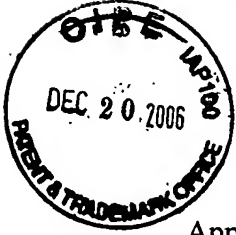
Maurice M. Klee, Ph.D.

Date

December 15, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ellis I. Betensky, James Brian Caldwell, and Klaus G. Raschke
Serial No. : 10/501,846
Filed : June 25, 2004
For : DUAL POWER BINOCULAR WITH ADJUSTABLE STOP

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR §1.56, 37 CFR §1.97(b)(3), and 37 CFR §1.97(e)(1), applicants wish to call the Examiner's attention to the references listed on the Supplemental Modified 1449 Form submitted herewith.

Copies of the references listed on the 1449 form are submitted herewith, with the exception of the reference which is a U.S. patent application publication (37 CFR §1.98(a)(2)(ii)).

The undersigned certifies that Reference 18 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, i.e., in a September 15, 2006 Office Action regarding applicants' corresponding Chinese Application No. 02827727.9, the claims of which are the same as the claims of this application.

Reference 17 is an English language patent publication corresponding to Reference 18.

The Examiner is respectfully requested to initial a copy of the Supplemental Modified 1449 Form and return it to applicants to indicate consideration of the references listed thereon in connection with the prosecution of this application.

No fee under 37 CFR §1.17(p) is believed to be due for this submission.
However, if a fee is required, applicants request that the fee be charged to Deposit
Account No. 11-1158.

Respectfully submitted,

Date: 12/15/06

Maurice M. Klee

Maurice M. Klee, Ph.D.

Reg. No. 30,399

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Fairfield, CT 06824

(203) 255-1400

[278] Attorney Docket No. : LCI-101US

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ellis I. Betensky, James Brian Caldwell, and
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Serial No. : 10/501,846
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For : DUAL POWER BINOCULAR WITH ADJUSTABLE
STOP

SUPPLEMENTAL MODIFIED 1449 FORM

U.S. PATENT DOCUMENTS

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Name</u>
_____	17.	2001/0007514	7/2001	Aikawa

FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Country</u>
_____	18.	1304054 A	7/2001	China